# **REMARKS**

With the entry of this amendment claims 31, 33-35, 61, 64, 68, 71 and 80-83 are pending herein. The amendment is provided to better claim the present invention. Claims 32, 36-60, 62, 63, 65-67, 69, 70 and 72-79 are cancelled with out prejudice.

Amendment of the specification is requested to include a cross reference to related applications. The amended specification is now consistent with the priority information set forth in the Inventor's Oath and Declaration submitted to the U.S. Patent and Trademark Office on July 10, 2000. The requested correction corrects an obvious clerical error and does not add new matter.

Amendment of claim 31 is requested to more particularly point out and distinctly claim the present invention. Specifically, the method of claim 31 now specifies that the outer surface of the particles "comprises graphitic carbon." Support for this amendment is provided by the description of exemplary diagnostic carbonaceous particles comprising a "detectable marker . . . encased in about 2 to 20 layers of graphitic carbon, more preferably about 2 to 10 layers of graphitic carbon." In addition, support for this amendment is provided by the discussion of how the diagnostic particles of the present invention differ from fullerene derivate particles on page 3, lines 19 to 28. Claim 31 has also been amended to correct antecedent basis. The requested amendments do not introduce any new matter.

New claims 80 and 81 have been added to more particularly point out and distinctly claim the present invention. Support for new claims 80 and 81 is provided by Examples 17, 18, 19, 20 and 23, which describes methods of the present invention wherein diagnostic particles are directly injected into the blood vessels of subjects. Support is also provided by the discussion of exemplary methods of "imaging clots in

blood vessels in patients with deep vein thrombosis (DVT), patients with atheromatous

occlusions in the vasculature and embolisms" on page 12, lines 9 and 10. New claims

80 and 81 do not introduce new matter.

New claim 82 has been added to more particularly point out and distinctly claim

the present invention. Support for new claim 82 is provided by the discussion of

exemplary methods of the present invention beginning on page 4, line 23 and ending on

page 5, line 19. New claim 82 does not introduce new matter.

New claim 83 has been added to more particularly point out and distinctly claim

the present invention. Support for new claim 83 is provided by the discussion of

exemplary methods of the present invention on beginning on page 5, lines 28 and

ending on page 6, line 2. Support for new claim 83 is also provide in the discussion of

an exemplary method of making diagnostic particles beginning on page 13, line 3 and

ending on page 14, line 4. New claim 83 does not introduce new matter.

The amendments and remarks as presented here are believed to place the case

in condition for allowance. None of the amendments made herein constitutes the

addition of new matter. Accordingly, entry of these amendments, reconsideration and

allowance is respectfully requested. This response is accompanied by a petition for an

Extension of Time and the required fees. With this response claims 31, 33-35, 61, 64,

68, 71 and 80-83 are pending herein.

Examiner Interview and Request for Information

Examiner Lauren Q. Wells and Supervisor Sreeni Padmanabhan are thanked for

their valuable participation in a telephone interview on November 12, 2003. A summary

of this interview is submitted with this response. During the interview the Examiner and

9

Supervisor requested information relating to commercial activity involving "ThromboTrace" and "FullerTag" embodiments described on page 10 of the application. Applicants have investigated into this inquiry and report that to Applicants' knowledge the FullerTag product has not yet been commercialized and the ThromboTrace product has yet to be approved for commercialization. Further, to Applicants' knowledge, there has been no use of either product in the market place and there was no publication of this invention prior to the filing of the Australian Application No. PCT/AU97/00467 on July 24, 1997, to which the present application claims priority.

# Clarification of the Record

In the amendment of January 27, 2003, Applicants characterized the "soot" particles ("structured aggregates of carbon") disclosed in the Burch et al. reference as "hydrophobic" and "thermodynamically unstable in an aqueous environment." Upon further analysis and review of the scientific literature, Applicants now note that these generalizations relating to the physical and chemical properties of carbonaceous particulate are overly simplified. The hydrophobic or hydrophilic nature of the interaction of carbonaceous particles depends on the precise chemical form of the carbon surface layers which are exposed to an aqueous medium. Graphitic carbon surfaces, for example, have been demonstrated to form a contact angle with water at 300 K of 86° degrees, which indicates that these surfaces readily associate with water and are actually hydrophilic (See, Exhibit A, "Physical Chemistry of Surfaces;" 3<sup>rd</sup> Ed., A.W. Adamson). In contrast, fullerenic carbon surfaces typically require derivatization, such as the addition of solubilizing groups, to provide for significant association with water and appreciable hydrophilic behavior. Applicant's oversimplification of the physical and chemical properties of carbonaceous particles was inadvertent and without any deceptive intent.

# Rejections under 35 U.S.C. § 103

Claims 31, 33, 34, 61 and 71 have been rejected under Section 103(a) as allegedly unpatentable over International Patent Application No.WO93/15768 (Watson *et al.*) in view of U.S. Patent No. 5,640,705 (Koruga) and in view of U.S. Patent No. 5,217,705 (Reno *et al.*). Applicants respectfully traverse this rejection.

In support of this rejection, the Office Action characterizes Watson *et al.* as disclosing "the use of fullerenes in diagnostic and/or therapeutic agents" and Koruga as teaching that fullerenes "can be formed as concentric multi-layer carbon cages." With respect to the combined teaching of Watson and Koruga, the Examiner concludes that:

[i]t would have been obvious to one of ordinary skill in the art at the time the invention was made to teach the fullerenes of Watson as comprising two or more carbon layers, as taught by Koruga, because of the expectation of achieving a product, wherein the contents of the fullerene are more fully trapped and not as susceptible to leaking out of the carbon skeleton matrix, thereby forming a more sustained-release formulation.

Further, the Examiner characterizes Reno *et al.* as teaching a "method of diagnosing blood clots using fibrin-binding proteins" and concludes that:

[i]t would have been obvious to one of ordinary skill in the art at the time the invention was made to teach fibrin-binding proteins, as taught by Reno et al., as the proteins of Watson et al. because Watson et al. teach their agents for targeting the blood pool and because of the expectation of achieving a stable contrast agent that is able to locate harmful fibrin blood clots.

Applicant has amended the rejected claims to more clearly specify the claimed invention and requests reconsideration and withdrawal of the rejections in light of the following arguments.

First, amended claims 31, 33, 34, 61 and 71 are not made obvious by the combination of references cited because the diagnostic particles used in the claimed methods and the materials disclosed in Watson et al. and Koruga have materially different chemical structures. Although the diagnostic particles of the present invention and the materials disclosed in the cited references are comprised of carbon, the particles used in the present methods comprise graphitic carbon. In contrast, the disclosure in Watson et al. and Kurga is limited to carbon in the form of fullerenes comprising carbon lattices having bond angles and overall geometries that differ materially from the six carbon repeated, aromatic ring structure of graphite. To clarify this distinction, claim 31 has been amended to recite "the outer surface of said particles comprises graphitic carbon." The express limitation of "graphitic carbon" in the amended claims distinguishes the composition of the present diagnostic particles from the fullerenic materials of Watson et al. and Kurga. Claims 31, 33, 34, 61 and 71 are not rendered obvious by the cited references because the combination of Watson et al., Koruga and Reno et al. fails to teach or suggest all the limitations in the amended claims and the missing claim limitations are well outside the grasped of the typical artisan at the time of invention. See, e.g., In re Royka, 490 F.2d 981, 180 USPQ 580 (CCPA 1974). It is therefore submitted that no prima facie case of nonobviousness has been made out with respect to this rejection, and withdrawal thereof is respectfully requested.

Second, the differences in the chemical structures of the diagnostic particles in the amended claims and the materials described in Watson *et al.* and Kurga give rise to substantially different chemical and physical properties, which further functionally distinguish the present diagnostic particles from the fullerenic materials of Watson *et al.* and Kurga.

Graphitic carbon surfaces make a contact angle with water of less than 90°, which indicates that the surface of the particles of the present invention are naturally hydrophilic in nature (see, Exhibit A, wherein the contact angle of water on graphite is reported to be 86° at 300 K). Indeed, graphitic carbon undergoes strong association with water allowing for the formation of stable and long lasting colloidal suspensions (see, Exhibit B, wherein a bond energy for the association between graphite and water is calculated to be -7.3 KJ mol<sup>-1</sup>). This property of the particles of the present invention is important because it allows for their effective use in aqueous colloidal suspensions. In contrast, fullerenes are highly insoluble in aqueous media, typically requiring labor intensive and difficult chemical functionalization of their outer surfaces to provide for effective dissolution. Thus, unlike the fullerenes of Watson *et al.* and Kurga, the diagnostic particles of the present invention <u>do not</u> require derivatization or chemical modification to make a stable aqueous dispersion. Rather it is the hydrophilic nature of the graphite structure itself that provides for this functionality.

In addition, the reactivity of the unmodified graphitic carbon surfaces of the diagnostic particles of the present invention is characterized by high affinity and selective binding to fibrin. This property of the particles of the present invention is important because it allows for their use for the *in vivo* detection of fibrin. In contrast, the combination of the teachings of Watson *et al.*, Koruga and Reno *et al.* relied upon by the Examiner requires complex derivatization synthesis reactions to provide effective coupling of fibrin-binding proteins to fullerene surfaces. Therefore, unlike the combination proposed by the Examiner, the diagnostic particles of the present invention **do not** require derivatization or chemical modification to provide selective binding to fibrin. Rather, this important functional attribute is provided by the unmodified graphite structure itself.

Third, the combined teachings of Watson *et al.*, Koruga and Reno *et al.* do not enable the synthesis of compositions comprising fullerenic materials having surfaces modified to include fibrin binding proteins and solubilizing groups. Fullerenes are very stable compounds and derivatization of their surfaces involves highly specific chemical addition reactions. There is no teaching in the combination of references relating to the manner or chemical pathway involved in coupling fibrin binding proteins to the highly inert surfaces of fullerenes. Indeed, the Reno *et al.* reference does not describe or suggest diagnostic markers comprising carbonaceous materials. Second, there is no teaching in the combination of references relating to the synthesis of fullerenes having a plurality of different affinity groups, such as solubilizing groups and fibrin binding proteins. References which do not enable one skilled in the art to construct and practice a claimed invention are not properly cited as prior art. *See, e.g.*, In re Hoeksema, 158 U.S.P.Q. 596, 600-01 (CCPA 1968). It is therefore submitted that no *prima facie* case of nonobviousness has been made out with respect to this rejection, and withdrawal thereof is respectfully requested.

Fourth, the Examiner does not provide any credible motivation to combine the teachings of teachings of Watson *et al.*, Koruga and Reno *et al.* to arrive at carbonaceous diagnostic materials exhibiting selective binding to fibrin and capable of forming a stable aqueous dispersion. The Examiner suggests that it would have been obvious to one of ordinary skill in the art to combine the teaching of Watson *et al.* with that of Koruga to arrive at a diagnostic material comprising two or more carbon layers because of the expectation of achieving a product "wherein the contents of the fullerene are more fully trapped and not as susceptible to leaking out of the carbon skeleton matrix, thereby forming a more sustained-release formulation". Fullerenes are extremely "leak proof", so much so that they can contain helium and hydrogen elements which are some of the most diffusive species known. Therefore, the proposed motivation to combine the teachings of Watson *et al.* and Koruga is not credible

because the pores in fullerenes are on the scale of atomic dimensions and, thus, are too small to allow for effective release of enclosed detectable markers.

In addition, the Examiner asserts the "expectation of achieving a stable contrast agent that is able to locate harmful fibrin blood clots" as a motivation to combine the fullerenes disclosed in Watson *et al.* with the fibrin binding proteins described in Reno *et al.* The present application relates to the *in vivo* detection of fibrin using the discrete particles of the invention dispersed in a suitable carrier, diluent, excipient or adjuvant, which can accumulate marker at vascular sites of fibrin deposition. In contrast, the methods of Watson *et al.* provide contrast agents which travel in the blood stream and do not localize. Therefore, the motivation of providing a stable contrast agent relied upon by the Examiner does not lead to the asserted combination of the teachings in Watson *et al.* and Reno *et al.* 

Fifth, the combined teachings of Watson *et al.*, Koruga and Reno *et al.* does not provide a reasonable expectation of successfully preparing carbonaceous materials having surfaces modified to include fibrin binding proteins and solubilizing groups and using such materials for *in vivo* fibrin detection. Particularly, a person of ordinary skill in the art would not expect that fibrin binding proteins can be chemically coupled to inert carbon surfaces, such as fullerene surfaces, without affecting protein structure and ability to selectively bind to fibrin. Proteins are very labile and are known to readily undergo conformational changes when their chemical environment is changed. In addition, a person of ordinary skill in the art would not expect that fibrin binding proteins and solubilizing groups can be sequentially coupled to inert carbon surfaces, such as fullerene surfaces, without disrupting their respective functioning (e.g. providing selective binding to fibrin and appreciable solubility). References which do not provide a reasonable expectation of successfully practicing the invention as claimed are not properly cited as prior art. See, e.g., Amgen, Inc. v. Chugai Pharmaceutical Co., 927

F.2d 1200, 18 USPQ2d 1016, 1022-23 (Fed. Cir.), *cert denied*, 502 U.S. 856 (1991). It is therefore submitted that no *prima facie* case of nonobviousness has been made out with respect to this rejection, and withdrawal thereof is respectfully requested.

Claim 35 has been rejected under Section 103(a) as allegedly unpatentable over International Patent Application No.WO93/15768 (Watson *et al.*) in view of U.S. Patent No. 5,640,705 (Koruga), U.S. Patent No. 5,217,705 (Reno *et al.*) and U.S. Patent No. 5,952,321 (Doherty *et al.*). Applicants respectfully traverse this rejection.

The Examiner characterizes the Doherty *et. al.* as teaching "water, Ringer solution, glucose in water and isotonic sodium chloride, as acceptable vehicles for in vivo administration of active agents." The Examiner concludes that:

[i]t would have been obvious to one of ordinary skill in the art at the time the invention was made to substitute the glucose water, taught by Doherty et al., for the vehicles taught by the combined references because the combined references water, sodium chloride injections, and Ringer's solution as interchangeable vehicles, and Doherty et al. teach water-in-glucose as an interchangeable vehicle with water, sodium chloride injections, and Ringer's solution.

Applicant has amended the independent claim upon which rejected claim 35 depends to more clearly specify the claimed inventions. Accordingly, Applicant requests reconsideration and withdrawal of the rejections in light of the following arguments.

The arguments set forth above with respect to claims 31, 33, 34, 61 and 71 are repeated. The combined teachings of Watson *et al.*, Koruga, Reno *et al.* and Doherty *et al.* do not disclose, enable or suggest any methods of detecting fibrin *in vivo* using graphitic carbon particles. In addition, there is no suggestion or motivation to combine these references nor is there teaching sufficient to enable a person of ordinary skill in the art to integrate these teachings to arrive at the method of claim 35. Finally, the

combined teachings do not provide a reasonable expectation of successfully preparing an aqueous colloid comprising carbonaceous materials having surfaces modified to include fibrin binding proteins in 5% glucose in water and using such materials for *in vivo* fibrin detection. The method of claim 35 is not obvious, and withdrawal of the rejection is respectfully requested.

Claim 64 has been rejected under Section 103(a) as allegedly unpatentable over International Patent Application No.WO93/15768 (Watson *et al.*) in view of U.S. Patent No. 5,640,705 (Koruga), U.S. Patent No. 5,217,705 (Reno *et al.*) and pages 67-69 of The Handbook of Cosmetic Science and Technology. Applicants respectfully traverse this rejection.

In support of this rejection, the Office Action characterizes The Handbook of Cosmetic Science and Technology as teaching that "a reduction in size of the dispersed phase particles increases the stability of the colloid." The Examiner concludes that:

[i]t would have been obvious to one of ordinary skill in the art at the time the invention was made to teach the dispersion of the combined references as a nanodispersion, wherein a dispersion is a form of a colloid, because of the expectation of achieving a more stable formulation.

Applicant has amended the independent claim upon which rejected claim 64 depends to more clearly specify the claimed inventions. Accordingly, Applicant requests reconsideration and withdrawal of the rejections in light of the following arguments.

The arguments set forth above with respect to claims 31, 33, 34, 61 and 71 are repeated. The combined teachings of Watson *et al.*, Koruga, Reno *et al.* and the portion of The Handbook of Cosmetic Science and Technology cited by the Examiner do not disclose, enable or suggest any methods of detecting fibrin *in vivo* using graphitic carbon particles. In addition, there is no suggestion or motivation to combine these

references nor is there teaching sufficient to enable a person of ordinary skill in the art to integrate these teachings to arrive at the method of claim 64. Finally, the combined teachings do not provide a reasonable expectation of successfully preparing a nanocolloid comprising carbonaceous materials having surfaces modified to include fibrin binding proteins and solubilizing groups and using such materials for *in vivo* fibrin detection. The method of claim 64 is not obvious, and withdrawal of the rejection is respectfully requested.

Claim 68 has been rejected under Section 103(a) as allegedly unpatentable over International Patent Application No.WO93/15768 (Watson *et al.*) in view of U.S. Patent No. 5,640,705 (Koruga), U.S. Patent No. 5,217,705 (Reno *et al.*), U.S. Patent No. 5,330,768 (Park *et al.*) and Penfold *et al.* Applicants respectfully traverse this rejection.

The Examiner characterizes the Park *et. al.* as teaching "films for drug delivery comprised of poly(lactic acid) and polyethyleneoxide and polypropylene oxide." The Examiner concludes that:

[i]t would have been obvious to one of ordinary skill in the art at the time the invention was made to teach the polymers taught by Park et al. as the alkyl alkoxylated surfactants of the combined references because a) Park et al.'s surfactants are alkyl alkoxylated and because of the expectation of achieving a contrast agent particle compound whose hydrophilicity/hydrophobicity can be altered.

Applicant has amended the independent claim upon which rejected claim 68 depends to more clearly specify the claimed inventions. Accordingly, Applicant requests reconsideration and withdrawal of the rejections in light of the following arguments.

The arguments set forth above with respect to claims 31, 33, 34, 61 and 71 are repeated. The combined teachings of Watson *et al.*, Koruga, Reno *et al.* Park *et al.* 

and Penfold et al. do not disclose, enable or suggest any methods of detecting fibrin in

vivo using graphitic carbon particles. In addition, there is no suggestion or motivation to

combine these references nor is there teaching sufficient to enable a person of ordinary

skill in the art to integrate these teachings to arrive at the method of claim 68. Finally,

the combined teaching do not provide a reasonable expectation of preparing an

aqueous colloid comprising carbonaceous materials having surfaces modified to include

fibrin binding proteins, solubilizing groups and a C<sub>16</sub>EO<sub>6</sub> surfactant and using such

materials for in vivo fibrin detection. The method of claim 68 is not obvious, and

withdrawal of the rejection is respectfully requested.

Rejections under 36 U.S.C. § 112, second paragraph

Claim 72 has been rejected under Section 112 second paragraph as allegedly

indefinite for failing to particularly point out and distinctly claim the subject matter which

the Applicant regards as the invention. Specifically, the term "lyophilic" in claim 72 is

allegedly vague and indefinite. Applicants respectfully traverse this rejection. To

expedite prosecution and without acquiescing to this rejection, however, claim 72 has

been cancelled.

CONCLUSION

In view of the foregoing, this case is considered to be in condition for allowance

and passage to issuance is respectfully requested. If new issues of patentability are

raised, the Examiner is invited to call and arrange for an opportunity to discuss these

issues via phone interview.

19

It is believed that a one month extension is required with this submission. Therefore, a Petition for Extension of Time (one month) and fee of \$ 110.00 are provided. If this is incorrect, please deduct the appropriate fee for this submission and any extension of time required from Deposit Account No. 07-1969.

Respectfully submitted,

Stephen B. Barone

Reg. No. 53,968

GREENLEE, WINNER AND SULLIVAN, P.C.

5370 Manhattan Circle, Suite 201

Boulder, CO 80303

Telephone: (303) 499-8080 Facsimile: (303) 499-8089 E-mail: winner@greenwin.com Attorney Docket No. 5-00

nk: November 14, 2003

# Physical Chemistry of Surfaces

Third Edition

ARTHUR W. ADAMSON

Department of Chemistry, University of Southern California Los Angeles, California

A Wiley-Interscience Publication

JOHN WILEY & SONS

New York · London · Sydney · Toronto



Copyright © 1976 by John Wiley & Sons, Inc.

All rights reserved. Published simultaneously in Canada.

No part of this book may be reproduced by any means, nor transmitted, nor translated into a machine language without the written permission of the publisher.

### Library of Congress Cataloging in Publication Data

Adamson, Arthur W

Physical chemistry of surfaces.

"A Wiley-Interscience publication."

Includes bibliographies and indexes.

1. Surface chemistry. 2. Chemistry, Physical and theoretical. I. Title. 76-13885

QD506 A3 1976 ISBN 0-471-00794-3 541' 3453

Printed in the United States of America

10 9 8 7 6 5 4 3 2 1

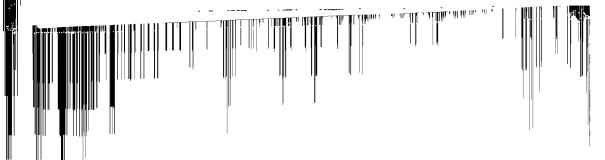


TABLE VII-2

|                        | Advancing cor                              | θ,              | dθ/dT,  | $\pi^0_{SV}$ ,      |          |
|------------------------|--|-----------------|---------|---------------------|----------|
| Liquid<br>γ, erg/cm²   | Solid                                      | deg             | deg/K   | erg/cm <sup>2</sup> | Ref.     |
|                        | PTFE <sup>a</sup>                          | 150             |         |                     | 56       |
| Mercury                | Glass                                      | 128-148         |         |                     | 57, 58   |
| (484)                  | n-H <sup>b</sup>                           | 111             |         |                     | 59       |
| Water                  | Paraffin                                   | 110             |         |                     | 60       |
| (72)                   | PTFE°                                      | 112             |         |                     | 60       |
|                        | FILL                                       | 108             |         |                     | 56       |
|                        |  | 98              |         | 88                  | 61       |
|                        | FEP  | 108             | -0.05   |                     | 63       |
|                        | Polypropylene                              | 108             | -0.02   |                     | 64       |
|                        | Polyethylene                               | 103             | -0.01   |                     | 65       |
|                        | Polyethylene                               | 96              | -0.11   |                     | 63       |
|                        |  | 94              |         |                     | 66       |
|                        |  | 93              |         |                     | 67       |
|                        |  | 78              |         | 43                  | 68       |
|                        | Human skin                                 | 90              |         |                     | 69       |
|                        | Hullian 3km                                | 75 <sup>d</sup> |         |                     | 70       |
|                        | Naphthalene                                | 88 <b>'</b>     | -0.13   |                     | 71       |
|                        | Stibnite (Sb <sub>2</sub> S <sub>3</sub> ) | 84              |         |                     | 30       |
|                        | Graphite                                   | 86_             |         | 19                  | 93       |
|                        | Grapinto                                   |                 |         | 59                  | 72a      |
|                        | Graphon                                    | 82              |         | _                   | 66       |
|                        | Pyrolytic carbon                           | 72              |         | 228                 | 68       |
|                        | Stearic acid                               | 80              |         | 98                  | 68       |
|                        | Gold                                       | 66              |         |                     | 66       |
|                        | Platinum                                   | 40              |         |                     | 66<br>73 |
|                        | Silver iodide                              | 17              |         |                     | 72       |
|                        | Glass                                      | Small           |         | ca. 20 <sup>8</sup> | 73       |
| CII I                  | PTFE                                       | 85              |         |                     | 70       |
| $CH_2I_2$              | Paraffin                                   | 61              |         |                     | 60<br>70 |
| (67)                   | 1 41 411111                                | 60              |         |                     | 70.      |
|                        | Talc                                       | 53              |         |                     | 37       |
|                        | Polyethylene                               | 46              |         |                     | 70       |
|                        | 1 Oly Carry                                | 40°             | • • • • |                     | 67       |
| Formamide              | FEP  | 92              | -0.06   |                     | 63<br>63 |
| (58)                   | Polyethylene                               | 75              | -0.0    | 1                   |          |
| CS₂                    | Tan <sup>h</sup>                           | 35              | 0.3     | 5                   | 34       |
| (ca. 35 <sup>h</sup> ) | Ice <sup>h</sup><br>PTFE°                  | 46              |         |                     | 56       |
| Benzene<br>(28)        | n-H <sup>b</sup>                           | . 42            |         |                     | 59       |

<u>,</u>

S i:

# Water-Carbon Interactions: Potential Energy Calibration Using Experimental Data

T. Werder<sup>1,2</sup>, J. H. Walther<sup>1,3</sup>, R. L. Jaffe<sup>4</sup> and P. Koumoutsakos<sup>1,5</sup>

<sup>1</sup>Institute for Computational Sciences, Swiss Federal Institute of Technology (ETH), Hirschengraben 84, CH-8092 Zurich, Switzerland

<sup>2</sup>werder@inf.ethz.ch

<sup>3</sup>walther@inf.eth.ch

<sup>4</sup>NASA Ames Research Center, Mail Stop 230-3, Moffett Field, CA 94035, USA

rjaffe@mail.arc.nasa.gov <sup>5</sup>petros@inf.ethz.ch

#### **ABSTRACT**

The water-graphite interaction is characterized by molecular dynamics simulations of water droplets on a graphite surface. The binding energy of a single water molecule on graphite is found to be directly proportional to the well depth ( $\varepsilon_{CO}$ ) in the Lennard Jones potential for the pairwise carbon-oxygen interaction and inversely proportional to the droplet contact angle.  $\varepsilon_{CO}$  is adjusted to enable the simulation results to match the literature value for the contact angle at 300 K (86°). This results in a binding energy of -7.3 kJ/mol for a single water molecule on graphite and a value of 0.4389 kJ/mol for  $\varepsilon_{CO}$ .

Keywords: carbon nanotubes, water, molecular dynamics, contact angle, potential energy.

#### 1 INTRODUCTION

Functionalized carbon nanotubes (CNT) are being studied for use as nanoscale biosensors (Nguyen 2002) and water or proton channels (Hummer 2001, Werder 2001, Noon 2002). Several molecular dynamics (MD) studies of water in carbon nanotubes have recently been performed to gain further insight into the latter, but in these MD studies a wide range of intermolecular potentials for water and water-carbon interactions was employed (see Werder 2002 for details). In general, experimental data are not available for calibrating the strength of the water-carbon interaction, so it is difficult to assess the accuracy of these potentials. In the present study, we use MD simulations to compute the static contact angle for nanometer-size water droplets on graphite and compare the results with available experimental data (Fowkes 1938 as cited in Adamson and Gast 1997). This leads to a new approach for determination of the interaction potential parameters.

## 2 RESULTS AND DISCUSSION

We use the SPC/E water model (Berendsen 1987) and compare different strength water-carbon interactions for the

case of a 2000-molecule water droplet on a graphite surface (a typical case is shown in Figure 1). The graphite is modeled by two 119x118 Å graphene sheets fixed in a staggered configuration 3.4 Å apart. The water-carbon interaction is modeled by a pairwise Lennard-Jones potential,  $E_{CO} = \sum 4\varepsilon_{CO}[(\sigma_{CO}/R_i)^{12} - (\sigma_{CO}/R_i)^6]$ , where the index *i* runs over all pairs of C and O atoms and  $R_i$  is the distance between atoms in the *ith* pair. The well depth and position of the minimum in the potential are given by  $\varepsilon_{CO}$  and  $(2^{1/6})\sigma_{CO}$ , respectively.

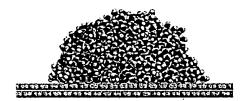
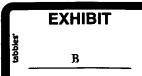


Figure 1: Snapshot of water droplet on graphite from MD simulation at 300 K using the SPC/E water model, and a carbon-oxygen Lennard-Jones potential with parameters  $\varepsilon = 0.4389 \text{kJ/mol}$  and  $\sigma = 3.19 \text{ Å}$ .

For each set of water-carbon parameters, we calculate the static contact angle  $(\theta)$  of the droplet (see Figure 2) and the binding energy  $(\Delta E)$  of a single water molecule on the graphite surface. In so doing, we demonstrate that the contact angle, which is commonly used to characterize the wettability of a substrate, is sensitive to changes in the carbon-water interaction strength. We also demonstrate that our small-droplet results can be extrapolated to macroscopic droplets, which allows us to use the experimental value for the contact angle of water on



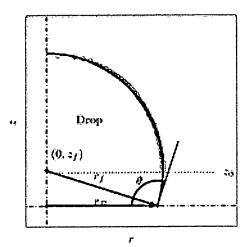


Figure 2: Schematic for calculating the contact angle of a water droplet on graphite from MD simulations. The contact angle is measured by fitting a circle with center  $(0, z_f)$  and radius  $r_f$  to the points of the equimolar dividing plane (circles) with  $z>z_0=8$  Å to exclude the near wall region. The droplet has a base radius  $r_B$ .

graphite (86°) to calibrate the water-carbon potential. Using a series of water-carbon potentials that range from hydrophobic ( $\theta > 90^\circ$ ) to complete wetting ( $\theta = 0^\circ$ ) behavior in our MD simulations, we determine the relationship between the binding energy of a single water molecule on graphite and  $\theta$  for the droplet (Figure 3) and between  $\Delta E$  and the value of  $\varepsilon_{CO}$  in the water-carbon potential (Figure 4). The data show complete wetting for  $\Delta E < -13.1$  kJ/mol and linear relationships between  $\Delta E$  and  $\theta$  for other values and between  $\Delta E$  and  $\varepsilon_{CO}$ . Thus, we can

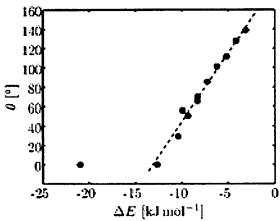


Figure 3: Static contact angle  $\theta$  of water droplets on graphite as a function of  $\Delta E$ , the binding energy of a single water molecule on graphite.

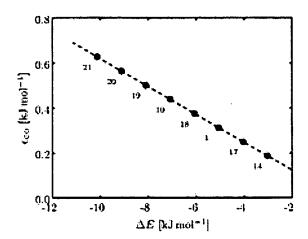


Figure 4:Lennard-Jones well depth parameter  $\varepsilon_{CO}$  for the water-graphite interaction as a function of  $\Delta E$ , the water molecule binding energy on graphite.

accurately predict the binding energy for a water molecule on graphite to yield a specific  $\theta$  and use that  $\Delta E$  value to determine the optimal value for  $\varepsilon_{CO}$ . This represents a new method for calibrating molecular dynamics interaction potentials. Our procedure leads to the recommendation of new water-carbon Lennard-Jones parameters  $\varepsilon_{CO}=0.4389 \text{kJ/mol}$  and  $\sigma_{CO}=3.19$  Å (Werder 2002). These results are summarized in Table 1.

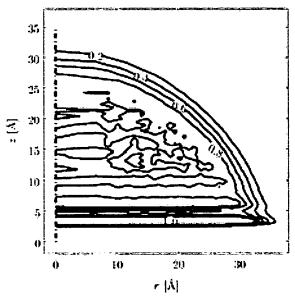


Figure 4:Isochore profile for a water droplet on graphite. The density values for the isochores are 0.2, 0.4, 0.6, 0.8 and 1.0 g/cm<sup>3</sup>.

We have used this new potential to compute the binding energy of a single water molecule on graphite ( $\Delta E = -7.3 \text{ kJ/mol}$ ) and the contact angle for a water droplet ( $\theta = 85.5^{\circ}$ ). We have also characterized the watergraphite/carbon nanotube interface. Figure 5 shows the time-averaged isochore profile for a 2000-water molecule droplet using the new water-carbon parameters. That profile shows layering of the water at the graphite surface with a thin layer of high density ( $\rho > 2\rho_{bulk}$ ) centered 3.2 Å above the surface and second layer ( $\rho = 1.5\rho_{bulk}$ ) centered at a height of 5.5 Å. The droplet assumes bulk water density approximately 10 Å above the surface. The liquid-vapor surface of the droplet where the density drops from the bulk value of 1.0 g/cm3 to nearly zero is about 5 Å thick.

Based on our analysis of the water-carbon interaction strength, we can comment on the results of various studies of graphite or carbon nanotube interactions with water. In particular, the carbon-oxygen potentials employed by Markovic (1999) and Noon (2002) are too strongly attractive. This leads to enhanced hydrophilic behavior and wetting. On the other hand, the potential used by Walther (2001) results in insufficient attraction between the water

and the carbon surfaces leading to enhanced hydrophobic behavior. We are currently repeating some of these simulations using the new water-carbon interaction potential.

#### REFERENCES

- W. Adamson and A. P. Gast, *Physical Chemistry of Surfaces*, 6th ed. (John Wiley & Sons, New York, 1997). H. J. C. Berendsen, J. R. Grigera and T. P. Straatsma, J. Phys. Chem. **91**, 6269 (1987).
- F. M. Fowkes, Ph.D. Thesis, University of Chicago, 1938. M. C. Gordillo and J. Marti, Chem. Phys. Lett. 329, 341 (2000).
- G. Hummer et al., Nature 414, 188 (2001).
- K. Koga et al., Nature 412, 802 (2001).
- N. Markovic et al., Chem. Phys. 247, 413 (1999).
- C. V. Nguyen et al., Nano Lett. 2, 1079 (2002).
- W. H. Noon et al., Chem. Phys. Lett. 355, 445 (2002).
- J. H. Walther et al., J. Phys. Chem. B 105, 9980 (2001).
- T. Werder et al., Nano Letters 1, 697 (2001).
- T. Werder et al., J. Phys. Chem. B, in press (2002).

Table 1. Summary of Water-Carbon Interaction Models Considered in the Present Study<sup>a</sup>

| Study                   | Water Model          | $\sigma_{co}(A)$ | $\varepsilon_{CO}$ (kJ/mol) | ΔE (kJ/mol) | θ (°) |
|-------------------------|----------------------|------------------|-----------------------------|-------------|-------|
| Markovic 1999           | SPC                  | 3.190            | .3910                       | -12.64      | 0.0   |
| Gordillo and Marti 2000 | SPC-FLX <sup>b</sup> | 3.280            | .3890                       | -9.91       | 55.9  |
| Walther 2001            | SPC-FLX              | 3.190            | .3135                       | -5.19       | 111.3 |
| Hummer 2001             | TIP4P                | 3.275            | .4785                       | -8.33       | 48.0  |
| Koga 2001               | TIP4P                | 3.262            | .3876                       | -6.70       |       |
| Noon 2002               | TIP3P                | 3.296            | .5781                       | -16.72      | 0.0   |
| Present Study           | SPC/E                | 3.190            | .4389                       | -7.26       | 85.5  |

- a. The Markovic, Gordillo and Noon models also included pairwise Lennard-Jones potential terms between carbon atoms and the water hydrogen atoms.
- b. SPC-FLX is a flexible water model that allows OH stretch and HOH bending motions.

Downloaded on November 12, 2003, from the The Institute of Computational Science (ICoS), Swiss Federal Institute of Technology website at: <a href="http://www.icos.ethz.ch/research/NanoTech.LONG.wog.pdf">http://www.icos.ethz.ch/research/NanoTech.LONG.wog.pdf</a>



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 09/463,082

Applicant

: Nair et al.

Filed

: July 10, 2000

TC/A.U.

: 1617

Examiner

: Wells, Lauren Q.

For

: METHOD FOR DETECTION

OF FIBRIN CLOTS

Docket No. : 5-00

Customer No.: 23713

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for Express Mail in an envelope

Confirmation No.: 5840

addressed to:

Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

November 14, 2003

Date

N. Kemper

EV 412 172 335 US **Express Mail Tracking Number** 

#### INTERVIEW SUMMARY

**Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants herewith submit the following summary of the Examiner Interview held on November 12, 2003.

On November 12, 2003, Examiner Lauren Q. Well and Supervisor Sreeni Padmanabhan participated in a telephone interview with Stephen representative for the Applicants. A draft amendment was provided to the Examiners via facsimile prior to the phone interview, the contents of which were discussed throughout the interview.

A proposed amendment of claim 31 to recite the limitation "wherein the outer surface of said particles comprises graphitic carbon" was discussed. Arguments were presented on behalf of the Applicants that that the proposed amendment overcame the pending rejection under 35 U.S.C. § 103, because the combination of cited references

did not teach the use of diagnostic particles comprising graphitic carbon.

Arguments were presented on behalf of the Applicants that the pending rejection of claim 31 did not satisfy the burden to establish a prima facie case on . .

nonobviousness.

A proposed amendment of the specification to reference related International and

Australian applications was discussed.

The subject matter disclosed in the reference "Technegas-a new ventilation agent for lung scanning," W. M. Burch, P. J. Sullivan and C.J. McLaren, Nuclear Medicine Communications 7, 865-871 (1986), cited in support of a previous rejection under 35 U.S.C. § 103, was discussed.

Respectfully submitted,

Stephen B. Barone

Reg. No. 53,968

GREENLEE, WINNER AND SULLIVAN, P.C.

5370 Manhattan Circle, Suite 201

Boulder, CO 80303

Telephone: (303) 499-8080 Facsimile: (303) 499-8089 E-mail: winner@greenwin.com Attorney Docket No. 5-00

nk: November 14, 2003

2